

PRAVEEN PRAKASH, IAS
Joint Secretary & Mission Director (SBM)
GOVERNMENT OF INDIA
MINISTRY OF URBAN DEVELOPMENT



सत्यमेव जयते



प्रवीण प्रकाश, आई.ए.एस.
संयुक्त सचिव एवं मिशन निदेशक (एस.बी.एम.)
भारत सरकार
शहरी विकास मंत्रालय

DO No: MD-SBM/AA/64/2016

30th May, 2016

Subject: Empanelled Transaction Advisors for Solid Waste Management Projects – Reg.

As you are aware, the Government of India is implementing 'Swachh Bharat Mission' (SBM) since October, 2014 with the goal of achieving a Clean India by 2nd October, 2019. A key component of the mission is to help cities across India manage Municipal Solid Waste (MSW) in a sustainable manner.

2. In order to support cities in selecting partners for setting up MSW Projects (Collection, Transportation, Processing or integrated), MoUD has empanelled 20 transaction advisors in 2 categories – Category A advisors (14) will handle projects with estimated value over Rs 40 crores and Category B advisors (6) will handle projects with estimated value less than or equal to Rs 40 crore. Cities may select from among these advisors by asking for financial quotes only, this will expedite the procurement process by obviating the need for technical evaluation.

3. The relevant notification, along with list of empanelled transaction Advisors (along with contact details) and indicative scope of work is at **Annexure 1** for your reference.

4. I would like to request you to kindly issue instructions to the concerned officers/municipal commissioners to utilize the services of these advisors for MSW projects.

I will be grateful for your kind support in this regard.

With Regards,

Yours Sincerely,


(Praveen Prakash)

To,
Chief Secretaries of all States

Copy to:
Principal Secretary/Secretary (Urban Development) of all states
Mission Director (SBM) of all states; Municipal Commissioners of 75 cities
DS (SJ); CPHEEO

F. No. Q-15014/6/2015-CPHEEO
Government of India
Ministry of Urban Development
CPHEEO

Nirman Bhawan, New Delhi
Dated the 29th August, 2016.

Sub: Empanelment of Transaction Advisers under Swachh Bharat Mission for Solid Waste Management (SWM) with PPP arrangements with Corrigendum No. 1 (of 1) - reg.

List A: Bidders Empaneled as Category 'A' Transaction Advisers (for SWM projects costing Rs. 40 crore and above)

S.No	Name of the Organization	Category
1.	Darashaw & Co. Pvt. Ltd.	A
2.	Infrastructure Development Corporation	A
3.	Deloitte Touche Tohmatsu India LLP	A
4.	PricewaterhouseCoopers Pvt Ltd.	A
5.	Ernst & Young LLP	A
6.	Feedback Infra Pvt. Ltd.	A
7.	Axykno Capital Services Ltd.	A
8.	Credible Management & Consultants	A
9.	Jones Lang LaSalle Property Consultants (India) Pvt. Ltd.	A
10.	Fortress Infrastructure Advisory Services	A
11.	DDF Consultants Pvt. Ltd	A
12.	Tandon Urban Solutions Pvt. Ltd.	A
13.	Innovest Advisory Services Pvt. Ltd.	A
14.	DRA Consultants Pvt. Ltd., in JV with Unity Consultants Pvt. Ltd.	A


List B: Bidders Empaneled as Category 'B' Transaction Advisers (for SWM projects costing less than Rs. 40 crore)

S.No	Name of the Organization	Category
1.	SREI Infrastructure Finance Limited	B
2.	MAZARS Advisory Pvt. Ltd.	B
3.	JPS Associate Pvt. Ltd	B
4.	InfraEn (India) Pvt. Ltd.	B
5.	Meghraj Capital Advisors Pvt. Ltd	B
6.	Grant Thornton India LLP	B

Note - "Empanelment done on the basis of bid received and self-certification of documents; the empaneled organizations are liable to be removed from the list if facts given and documentation are found to be incorrect at any stage".

Transaction Advisors (TAs) in category A can bid for projects under category B but not vice-versa. In special circumstances where sufficient no. of bids from category A Transaction Advisers are not received, then category B Transaction Advisers may be asked to bid with the approval of competent authority.

(Scope of work Annexed)



ज.ब. रवीन्दर/J.B. RAVINDER
संयुक्त सलाहकार/Joint Adviser (PHEE)
शहरी विकास मंत्रालय
Ministry of Urban Development
भारत सरकार, नई दिल्ली
Govt. of India, New Delhi
(Authorised Signatory)

EMPANELMENT OF TRANSACTION ADVISORS FOR PPP PROJECTS IN SOLID WASTE MANAGEMENT UNDER SWACHH BHARAT MISSION

SCOPE OF WORK OF THE EMPANELLED TRANSACTION ADVISORS

The scope of work to be performed by qualified Empanelled Agencies as Transaction Advisors shall be, but not limited, to the following tasks:

1. Provide technical, commercial, financial and legal services in support of PPP project preparation and transactions, which shall include but not limited to:
 - (i) prepare bidding documents and draft contracts after reviewing the Detailed Project Report prepared by the State/ULB;
 - (ii) Carry out Value for Money (VfM) analysis and assess PPP options;
 - (iii) Conduct financial analysis and modeling, and structure the projects;
 - (iv) Carry out the bidding process until signing of the contract with the PPP bidders or concessionaire;
 - (v) Provide advisory services until the commissioning of the project or as & when required, such as Project review, development and implementation activities of various projects shall be carried out in Municipal Solid Waste Management.
2. Help structure projects to enable recovery of the investments made in such projects through innovative business and commercial practices such as levy of user charges/ fee/ toll, facilitating financial contribution under the various schemes of Government of India and exploitation of development rights/ concessions etc., as appropriate under the applicable laws;
3. Proactively market the structured projects to potential investors, both domestic and foreign, at appropriate stages;
4. Structure and market the projects in such a manner that various forms of aid, grant etc. from the Government of India, National and International, Bilateral/ Multilateral Institutions/ Agencies etc. can flow into the projects;
5. Propose Institutional Structures required for implementation of projects with Private sector financing and operation & maintenance thereof and recommend a suitable project management agency for the purpose of ensuring that the project is structured and executed in line with the specifications as stipulated in the Detailed Feasibility and Investment Banking Report (DFIBR) and / Techno Economic Feasibility Report (TEFR) or other appropriate due diligence;
6. Assist the State/ULBs in getting successfully tied-up with financial institutions for necessary financial closure on their part;
7. Project monitoring activities and related consultancy services during the execution/ construction period of the project until commissioning of the project;
8. Training and capacity building of the Municipal staff with respect to the PPP project components;
9. Any other activity related to the project.


ज.ब. रवीन्दर/J.B. RAVINDER
संयुक्त सलाहकार/Joint Adviser (PHEE)
शहरी विकास मंत्रालय
Ministry of Urban Development
भारत सरकार, नई दिल्ली
Govt. of India, New Delhi
(Authorised Signatory)

**LIST OF EMPANELED AGENCIES FOR PROVIDING TRANSACTION ADVISORY SUPPORT TO CITIES/ TOWNS FOR
SOLID WASTE MANAGEMENT PROJECTS**

	Name of the Organization	Address	Contact Number	Email	Contact Person
Category A (for projects with estimated value more than Rs. 40 Crore)					
1.	Darashaw & Co. Pvt. Ltd.	6th Floor, Express Building, 14th 'E' Road, Near Government Law College, Churchgate (W), Mumbai 400 020	Phone: 022 43022300; Fax: +91 22 43022366	contact@darashaw.com	Narender Kumar, Assistant VP
2.	Infrastructure Development (Karnataka) Corporation	9/7, K.C.N. Bhavan, Yamuna Bai Road, Madhavanagar Extension, Off Race Course Road, Bengaluru - 560001	Phone: 080 43448000; Fax: +91 80 4344 8001 Mob. +91 98455 36876	ideckinfo@idfc.com; debashis@idfc.com	Debashis Ghosh, Executive VP
3.	Deloitte Touche Tohmatsu India LLP	7th Floor, Building 10, Tower B, DLF Cybercity Complex, DLF Phase 2, Gurgaon - 122002 Haryana India	Phone: 0124 6792363; Fax: +91 124 6792012	sksinha@deloitte.com	Sudeep Kumar Sinha, Partner
4.	PricewaterhouseCoopers Pvt Ltd	Floor 17, Building 10-C, DLF Cyber City, Gurgaon, India 122002	Phone: 0124 3306009	ranen.banerjee@in.pwc.com	Ranen Banerjee, Executive Director
5.	Ernst & Young LLP	MG703B, The Magnolias, DLF Golf Links, DLF City Phase-V, Gurgaon 122009 Haryana	Phone: 011 26611012 to 13	abhaya.agarwal@in.ey.com	Abhaya Krishna Agarwal, Partner- Infrastructure & PPP

	Name of the Organization	Address	Contact Number	Email	Contact Person
6.	Feedback Infra Private Limited	15th Floor, Building 9B, DLF Cyber City, Phase 3, Gurgaon-122002	Phone: 0124 4169100; Fax: +91 124 416 9175 Mob. +91 9810789181	inquiries@feedbackinfra.com	Aditi Vyas, Senior Consultant
7.	Axykno Capital Services Limited	Leela Vista, Level 3, Bajaj Nagar, WHC Road, Nagpur, Maharashtra 440024	Phone: 0712 6451999/ 6452999/3999; Fax: 0712 2236999/ 2245338	admin@axykno.com	Parag Somwanshi, Leader-Infrastructure
8.	Credible Management & Consultants	177, Block R, LGF, Greater Kailash, New Delhi 110048	Phone: 011 4670 2241-246; Fax: 011 41090127	info@cmcindia.net	Karam Kumar, General Manager-F&A
9	Jones Lang LaSalle Property Consultants (India) Pvt. Ltd.	2, Dr Martin Luther King Sarane (formerly Upper Wood Street), Kolkata 700016	Phone: 033 39415678; Fax: +91 33 33436101 Mob. +90- 9830070624)	saugata.maitra@ap.jll.com	Saugata Maitra, National Director, Infrastructure Services
10.	Fortress Infrastructure Advisory Services (a division of Fortress Financial Services Ltd)	Daryanagar House, 2nd Floor, 69 Maharshi Karve Road, Marine Lines, Mumbai 400002 India	Phone: 022 22007973 to 76; Fax: +91 22 22031609	fortress@fortress.co.in	Yogesh More, Assistant Manager
11.	DDF Consultants Private Limited	501, B-9, I.T.L. Twin Towers, Netaji Subhash Place, Pitampura, New Delhi - 110034	Phone: 011 47400500; Fax: 011 47400555	info@ddfgroup.com	Puneet Gupta
12.	Tandon Urban Solutions Pvt. Ltd.	701, Harbhajan Building, Kalina, Santacruz (E), Mumbai 400 098	Tele-Fax: 022 2665 5335 Mob: +91- 9867687797	tandonandassociates@gmail.com	Amit (GM - Business Development),

	Name of the Organization	Address	Contact Number	Email	Contact Person
13.	Innovest Advisory Services Pvt. Ltd.	1115, 11 th Floor, Tower B 4, Spaze Tech Park, Sector 49, Sohna Road, Gurgaon 122002 Haryana, India	Phone: 0124 4777555; Fax: +91 124 4777599	info@innovest.in	Varushi Shinghal, Manager
14.	DRA Consultants Pvt Ltd in JV with Unity Consultants Pvt Ltd.	Plot no. 58, Ingole Nagar, Behind Hotel Pride, Opposite Airport, Wardha Road, Nagpur, Maharashtra – 440005 Unity Consultants Pvt. Ltd, 7, Raghuvansh Apt., Plot No. 78, Mayur Colony, Kothrud, Pune 411038	Phone: 0712-3027575 to79, 3027502; Fax - 0712 3027527 Phone: +91-20-25468878, 25467788, 25454315;	dra.nagpur@gmail.com; dra.cement@gmail.com info@unitycpl.com	Jatin Rathi for DRA Consultants and Mahesh Pathak for Unity Consultants

Category B (for projects with estimated value less than or equal to Rs. 40 Crore)

1.	SREI Infrastructure Finance Limited	Vishwakarma 86-C, Topsia Road (South), Kolkata - 700046	Phone: 033 22850112-15, 61607734; Fax: +91 33 22858501/ 7542	corporate@srei.com	A K Mohapatra, Head - Infrastructure Advisory
2.	MAZARS Advisory Pvt. Ltd.	Plot No. 421, IInd Floor Udyog Vihar , Phase IV Gurgaon 122016	Phone: +91 124 4814444/ +91 22 6158 7200 Mob +91 9810119871	sumit.singhal@mazars.co.in	Sumit Singhal, Executive Director
3.	JPS Associates Pvt. Ltd	R-16, Hauz Khas Enclave, New Delhi 110016 India	Phone: 011 26862487/ 26862193/ 26854335; Fax: +91 11 26864547	info@jps-india.com	C Divakar Dhaveji, Executive Director

	Name of the Organization	Address	Contact Number	Email	Contact Person
4.	InfraEn (India) Private Limited	#4932, High Point - IV, Palace Road, Bangalore - 560 001	Mobile: 08069000130	info@infraen.com	Sumit Gautam, Deputy Manager - Environmental Practice
5.	Meghraj Capital Advisors Pvt. Ltd	2nd Floor, 201 Rajan House, Appasaheb Marathe Marg, Prabhadevi, Mumbai 400025 India	Phone: 022 67445100; Fax: +91 22 67445150	info@meghrajindia.com	Chandrasekhar Iyer, MD
6.	Grant Thornton India LLP	7th Floor, Block 3, White House, Kundanbagh, Begumpet, Hyderabad - 500 016, India; Head Office - 21st Floor, DLF Square, Jacaranda Marg	Phone: 040 66308200; Fax: +91 40 66308230	gv.subrahmanyam@in.gt.com	G V Subrahmanyam, Partner